Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Number 10653039 Filing Date 2003-08-29 **INFORMATION DISCLOSURE** First Named Inventor Bennett STATEMENT BY APPLICANT **Art Unit** 2626 (Not for submission under 37 CFR 1.99) **Examiner Name** Martin Lerner Attorney Docket Number PHO 99004CIP

					U.S.	PATENTS	Remove		
	xaminer itial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	Me	1	7082397		2006-07-25	Cohen et al.			
		2	7031925		2006-04-18	Goldberg			
		3	7020609		2006-03-28	Thrift et al.			
		4	7003463		2006-02-21	Maes et al.			
		5	6944586		2005-09-13	Harless et al.			
	,	6	6862713		2005-03-01	Kraft et al.			
	Y	7	6856960		2005-02-15	Dragosh et al.			
-	Je	8	6665644		2003-12-16	Kanevsky et al.			

Application Number		10653039
Filing Date	:	2003-08-29
First Named Inventor	Benn	ett
Art Unit		2626
Examiner Name	Marti	n Lemer
Attorney Docket Numb	er	PHO 99004CIP

4	P	9	6601026	2003-07-29	Appeit et al.	
	1	10	6453290	2002-09-17	Jochumson	
		11	6446064	2002-09-03	Livowsky	
		12	6434524	2002-08-13	Weber	
		13	6393403	2002-05-21	Majaniemi	
		14	6327343	2001-12-04	Epstein et al.	
		15	6314402	2001-11-06	Monaco et al.	
		16	6175634	2001-01-16	Graumann	
		17	6173279	2001-01-09	Levin et al.	
	/	18	6105023	2000-08-15	Callan	
1)P	19	6088692	2000-07-11	Driscoll	·

Application Number		10653039			
Filing Date		2003-08-29			
First Named Inventor	Benne	ett			
Art Unit		2626			
Examiner Name	Martin	Lemer			
Attorney Docket Number		PHO 99004CIP			

	T	<u> </u>				Ţ <u> </u>
me	20	6078914		2000-06-20	Redfern	
	21	6061646	·	2000-05-09	Martino et al.	
	22	5905773		1999-05-18	Wong	
	23	5865626		1999-02-02	Beattie et al.	·
	24	5802251		1998-09-01	Cohen et al.	
	25	5774841	·	1998-06-30	Salazar et al.	
	26	5758023		1998-05-26	Bordeaux	
	27	5730603		1998-03-24	Harless	
	28	5694592	,	1997-12-02	Driscoll	
	29	5625814		1997-04-29	Luciw	
Me	30	5524169		1996-06-04	Cohen et al.	

Application Number 10653039 Filing Date 2003-08-29 INFORMATION DISCLOSURE First Named Inventor **Bennett** STATEMENT BY APPLICANT Art Unit 2626 (Not for submission under 37 CFR 1.99) **Examiner Name** Martin Lerner PHO 99004CIP Attorney Docket Number 31 5454106 1995-09-26 Burns et al. 32 5278980 1994-01-11 Pedersen et al. If you wish to add additional U.S. Patent citation information please click the Add button. Add **U.S.PATENT APPLICATION PUBLICATIONS** Remove Pages, Columns, Lines where Examiner Cite Kind **Publication** Name of Patentee or Applicant **Publication Number** Relevant Passages or Relevant Initial* No Code¹ Date of cited Document Figures Appear 20010013001 2001-08-09 Brown et al. If you wish to add additional U.S. Published Application citation information please click the Add button Add **FOREIGN PATENT DOCUMENTS** Remove Pages, Columns, Lines Name of Patentee or Examiner Cite Foreign Document Country Kind **Publication** where Relevant Applicant of cited **T**5 Initial* No Number³ Code2 i Code⁴ Date Passages or Relevant Document Figures Appear 1 If you wish to add additional Foreign Patent Document citation information please click the Add button Add **NON-PATENT LITERATURE DOCUMENTS** Remove Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Cite (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), **T**5 Initials* No publisher, city and/or country where published. 1

If you wish to add additional non-patent literature document citation information please click the Add button

Application Number		10653039	_
Filing Date		2003-08-29	
First Named Inventor	Benne	ett	_
Art Unit		2626	_
Examiner Name	Martir	n Lerner	_
Attorney Docket Numb	er	PHO 99004CIP	_

	EXAMINER SI	GNATURE	
Examiner Signature	mostly Level	Date Considered	F005 F6 E
*EXAMINER: Initial if citation if not in confo	f reference considered, whether or not citation ormance and not considered. Include copy of	is in conformance with MPEP 609 this form with next communication	. Draw line through a to applicant.
Standard ST.3). 3 For Jap	TO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 90 panese patent documents, the indication of the year of the appropriate symbols as indicated on the document under ion is attached.	reign of the Emperor must precede the ser	ial number of the patent document

PTC/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

·	Application Number		10653039	
INFORMATION DISCUSSION	Filing Date .		2003-08-29	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor Benne		nett	
(Not for submission under 37 CFR 1.99)	Art Unit		2626	
	Examiner Name	Martin	Lerner	
	Attorney Docket Numb	er	PHO 99004CIP	

	U.S.PATENTS Remove										
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D)ate	of cited Document Releva		Releva	ges,Columns,Lines where levant Passages or Releva gures Appear		
Me	1	7058573		2006-06	6-06	Murveit et al.					
If you wis	h to ac	dd additional U.S. Pater	nt citation	n inform	ation pl	ease click the	Add button.		Add		
			U.S.P.	ATENT	APPLI	CATION PUBL	LICATIONS		Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ition	of cited Document		Releva	Columns,L int Passage s Appear		
	1										
If you wisl	h to ac	dd additional U.S. Publis	shed Ap	plication	citation	n information p	lease click the Add	button	Add		
				FOREIG	3N PAT	ENT DOCUM	ENTS		Remove		_
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		Kind Code4	Publication Date	Name of Patentee or Applicant of cited Document Pages,Colowhere Releases Figures Applicant of Cited Passages Figures Applicant of Cited Pages, Colombia (Cited Pages) Pages, Colombia (Cited Pag		vhere Rele Passages o	vant r Relevant	T 5
,	1										
If you wisl	If you wish to add additional Foreign Patent Document citation information please click the Add button Add										
			NON	I-PATEN	NT LITE	RATURE DO	CUMENTS	. ,	Remove		
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item									T 5		

Application Number		10653039				
Filing Date		2003-08-29				
First Named Inventor Benn		ett				
Art Unit	-	2626	 ;			
Examiner Name	Marti	n Lemer				
Attorney Docket Numb	er	PHO 99004CIP				

me 1	COFFMAN, Daniel et al., Provisional Application for Patent, Application Number 60/117,595, filed January 27, 1999, 111 pages.								
If you wish to add additional non-patent literature document citation information please click the Add button Add									
EXAMINER SIGNATURE									
Examiner S	ignat	ture martiel Lerren	1	Date Considered	2	27/	F005		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									
Standard ST.3 4 Kind of docur	i). 3 Fo ment b	USPTO Patent Documents at www.uspto.gov or Japanese patent documents, the indication of the year the appropriate symbols as indicated on the documents attached.	year of the reign of the Emper	ror must precede the ser	ial num	ber of	the patent do	cument:	

	Application Number		10653039	
	Filing Date		2003-08-29	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor Benne		nett	
(Not for submission under 37 CFR 1.99)	Art Unit		2654	
(1.00)	Examiner Name	Marti	n Lerner	
	Attorney Docket Numb	er	PHO 99004CIP	

U.S.PATENTS Remove						
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
me	1	5765130		1998-06-09	Nguyen	
	2	5794193		1998-08-11	Gorin	
	3	5956675		1999-09-21	Setlur et al.	
	4	6018736		2000-01-25	Gilai et al.	
	5	6021384		2000-02-01	Gorin et al	
	6	6122613		2000-09-19	Baker	
	7	6173261		2001-01-09	Arai et al.	
عرب	8	6269153		2001-07-31	Carpenter et al.	

Application Number		10653039	
Filing Date		2003-08-29	
First Named Inventor Benn		ett	
Art Unit		2654	
Examiner Name Marti		n Lerner	
Attorney Docket Numb	er	PHO 99004CIP	

nje	9	6519562		2003-0	2-11	Phillips et al.					
nge.	10	6651043		2003-1	1-18	Ammicht					
عالب	_11	6842767		2005-01-11		Partovi et al.					
If you wis	h to a	dd additional U.S. Pater	nt citation	n inform	ation pl	ease click the	Add button.	····	Add		•
			U.S.P.	ATENT	APPLI	CATION PUBL	LICATIONS		Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ation	Name of Pate of cited Docu	entee or Applicant ment	Releva		Lines where ges or Relev	
·	1										
If you wis	h to ac	d additional U.S. Publis	shed Ap	plication	citation	n information p	please click the Add	button	Add		
				FOREIG	GN PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		Kind Code ⁴	Publication Date	Name of Patentee Applicant of cited Document	∍ or V F	vhere Rel	or Relevant	T5
	1										
If you wish	n to ac	d additional Foreign Pa	itent Do	cument	citation	information pl	ease click the Add	button	Add		
	NON-PATENT LITERATURE DOCUMENTS Remove										
Examiner Initials*	Examiner No Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.						T5				
z fr	BUO, Finn Dag et al., "Learning to parse spontaneous speech." Believed to be published In ICSLP, 1996, 4 pages.										

Application Number		10653039		
Filing Date		2003-08-29		
First Named Inventor Benn		ett		
Art Unit		2654		
Examiner Name Marti		n Lerner		
Attorney Docket Number		PHO 99004CIP		

8.0	ال	2	GOLDEN, J. et al., "Automatic Topic Identification for Two-level Call Routing," Proc. International Conference on Acoustics, Speech and Signal Processing, volume 1, pp. 509-512, 1999.						
	1	3	CARBONELL, J. et al., "Dynamic strategy selection in flexible parsing.) In Proceedings of the 19th annual meeting of the ACL (ACL 81), 1981, pp. 143-147.						
		4	SENEFF, S. et al., "Galaxy-II: a Reference Architecture for Conversational System Development," Believed to be published in Proceedings of ICSLP98 (1998), 4 pages.						
		5	MICROSOFT INTERNET DEVELOPER, "Add Natural Language Search Capabilities to Your Site with English Query," http://www.microsoft.com/mind/0498/equery.asp, 1998, 9 pages.						
1	/	6	CARROLL, J. et al., "Dialogue Management in Vector-Based Call Routing," Believed to be published In Proceedings of the Annual Meeting of the Association for Computational Linguistics, 1998, pp. 256-262.						
-1)£_	7	KAISER, Ed., "Robust, Finite-state Parsing for Spoken Language", Student Session of ACL '99, June 1999, pp. 573-578.						
If you	u wis	h to ac	d additional non-patent literature document citation information please click the Add button Add						
			EXAMINER SIGNATURE						
Exar	niner	Signa	ture Party Perus Date Considered 2/27/2007						
*EXA	*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
Stand ⁴ Kind	¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.								

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention Query engine for processing voice based queries including semantic decoding

Application Number:

10/653039

1543

Confirmation Number:

1040

First Named Applicant:
Attorney Docket Number:

lan Bennett PHO 99004CIP

.

1110 33004

Art Unit:

2654

Examiner:

Martin Lerner

Search string:

(6965890 or 6965864 or 6964012 or 6961954 or 6941273 or 6940953 or 6922733

or 6584464 or 6182068 or 6785654 or 6785647 or 6742021 or 6738743 or 6665640

or 6633846 or 6615172 or 6216013).pn

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

in	it	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	ん	_ 1	6965890	2005-11-15	Dey et al.		\	7
		2	6965864	2005-11-15	Thrift et al.		·\	7
		3	6964012	2005-11-08	Zirngibl et al.			7
		4	6961954	2005-11-01	Maybury et al.			
		5	6941273	2005-09-06	Loghmani et al.			
		6	6940953	2005-09-06	Eberle et al.	•		
		7	6922733	2005-07-26	Kuiken et al.			7
		8	6584464	2003-06-24	Warthen			\7
		9	6182068	2001-01-30	Culliss			X
		10	6785654	2004-08-31	Cyr et al			
		11	6785647	2004-08-31	Hutchinson		7	
		12	6742021	2004-05-25	Halverson et al.		/	
		13	6738743	2004-05-18	Sharma et al.		7	
		14	6665640	2003-12-16	Bennett et al.			
		15	6633846	2003-10-14	Bennett et al.			
		16	6615172	2003-09-02	Bennett et al.		7	
7	ደ	17	6216013	2001-04-10	Moore et al.		7	

Signature

Examiner Name	Date
model Lerne	27 FEBRUARY 2007

Information Disclosure Statement By Applicant NOV 1 0 2005 Substitute for form 1449/PTO

Page 1 of 1

Application Number: 10/653,039 Filing Date: 08/29/2003

First Named Inventor: Bennett

Art Unit: 2654

Examiner Name: Richemond Dorvil Attorney Docket Number: PHO 99004CIP

+

Examiner Initials	Other Publications
me	COX, Richard V. et al., "Speech and Language Processing for Next-Millennium Communications Services," Proceedings of the IEEE, Vol. 88, No. 8, August 2000, pp. 1314-1337.
me	KUHN, Roland, and Renato De Mori, "The Application of Semantic Classification Trees to Natural Language Understanding," IEEE TRANSACTIONS ON PATTERN ANALYSIS AND MACHINE INTELLIGENCE, VOL. 17, NO. 5, MAY 1995, pp. 449-460.
+mp	Unisys Corp., "Natural Language Speech Assistant (NLSA) Capabilities Overview," NLR 3.0, August 1998, Malvern, PA, 27 pages.

Examiner Signature:

27 FEBRUARY 2007

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

Query engine for processing voice based queries including semantic decoding

Application Number:

10/653039

Confirmation Number:

1543

First Named Applicant:

Ian Bennett

Attorney Docket Number:

PHO 99004CIP

Art Unit:

2654

Examiner:

Richemond Dorvil

Search string:

(5509104 or 5652897 or 5675707 or 5860063 or 5987410 or 5995918 or 6044337 or 6192110 or 6195636 or 6226610 or 6246986 or 6374226 or 6418199 or 6434529 or 6499011 or 6499013 or 6510411 or 6513037 or 6532444 or 6594348 or 6681206 or 6823308 or 6871179 or 20020032566 or 20030191625 or 20050091056 or

20050131704).pn

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

ini	it	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	ħ	1	5509104	1996-04-16	Lee et al.		\	/
		2	5652897	1997-07-29	Linebarger et al.		1	-/
		3	5675707	1997-10-07	Gorin et al.			
		4	5860063	1999-01-12	Gorin et al.			
		5	5987410	1999-11-16	Kellner et al.			
		6	5995918	1999-11-30	Kendall et al.		\	
		7	6044337	2000-03-28	Gorin et al.			
		8	6192110	2001-02-20	Abella et al.		7	
		9	6195636	2001-02-27	Crupi et al.		`	
		10	6226610	2001-05-01	Keiller et al.			\bigvee
		11	6246986	2001-06-12	Ammicht et al.			
Ш		12	6374226	2002-04-16	Hunt et al.			
		13	6418199	2002-07-09	Perrone			
		14	6434529	2002-08-13	Walker et al.			
		15	6499011	2002-12-24	Souvignier et al.		/	
		16	6499013	2002-12-24	Weber			
		17	6510411	2003-01-21	Norton et al.		/	
		18	6513037	2003-01-28	Ruber et al.		/	
Y		19	6532444	2003-03-11	Weber		/	
لتب	L	20	6594348	2003-07-15	Bjurstrom et al.		/	

F	رو	21	6681206	2004-01-20	Gorin et al.	
7	Je_	22	6823308	2004-11-23	Keiller et al.	
۲	18	23	6871179	2005-03-22	Kist et al.	

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

	init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	بال	1	20020032566	2002-03-14	Tzirkel-Hancock et al.			
f	זעי	2	20030191625	2003-10-09	Gorin et al.			X
Ŧ	7	3	20050091056	2005-04-28	Surace et al.			
[475	4	20050131704	2005-06-16	Dragosh et al.			

/ Signature

Examiner Name	Date
mothy Lerey	27 FEBRUARY 2007

Information Disclosure Statement By Applicant will 20 7005 Substitute for form 1449/PTO

Page 1 of 1

Application Number: 10/653,039 Filing Date: 08/29/2003

First Named Inventor: Bennett

Art Unit: 2655

Examiner Name: Doris Ha To

Attomey Docket Number: PHO 99004CIP

Examiner Initials	Other Publications
me	European Patent Office search report for EP Application Number 00977144, dated March 30, 2005, 5 pages.
	BURSTEIN, A. et al. "Using Speech Recognition In A Personal Communications System," Proceedings of the International Conference on Communications, Chicago, Illinois, June 14-18, 1992, pages 1717-1721.
1	DIGALAKIS, V. et al., "Quantization Of Cepstral Parameters For Speech Recognition Over The World Wide Web," IEEE Journal on Selected Areas of Communications, 1999, pages 82-90.
me	KUHN, T. et al., "Hybrid In-Car Speech Recognition For Mobile Multimedia Applications," Vehicular Technology Conference, Houston, Texas, May 1999, pages 2009-2013.

Examiner Signature:

Information Disclosure Statement By Applicant Substitute for form 1449/PTO

Page 1 of 1
Application Number: 10/653,039
Filing Date: 08/29/2003
First Named Inventor: Bennett

Art Unit: 2655

Examiner Name: Doris Ha To

Attomey Docket Number: PHO 99004CIP

Examiner Initials	Other Publications
	AGARWAL, R., "Towards a PURE Spoken Dialogue System for Information Access, "believed
The	to be published in Proceedings of the ACL/EACL Workshop on Interactive Spoken Dialog
	Systems: Bringing Speech and NLP Together in Real Applications, Madrid, Spain, 1997, 9 pages.
	AMMICHT, Egbert et al., "Knowledge Collection for Natural Language Spoken Dialog Systems,"
	believed to be published in Proc. Eurospeech, Volume 3, Page 1375-1378, Budapest, Hungary,
	Sep. 1999, 4 pages.
	AT&T Corp., "Network Watson 1.0 System Overview," 1998, 4 pages.
	AT&T Corp., "AT&T WATSON Advanced Speech Applications Platform," 1996, 3 pages.
	AT&T Corp., "AT&T WATSON Advanced Speech Applications Platform Version 2.0," 1996,
	3 pages.
	AT&T Corp., "AT&T WATSON Advanced Speech Application Platform Version 2.0," 1996,
	8 pages.
	GORIN, Allen, "Processing of Semantic Information in Fluently Spoken Language," believed to
	be published in Proc. ICSLP, Philadelphia, PA, Oct. 1996, 4 pages.
	GORIN, Allen et al., "How May I Help You," believed to be published in Proc. IVTTA, Basking
	Ridge, NJ, Oct. 1996, 32 pages.
	MOHRI, Mehryar, "String Matching With Automata," Nordic Journal of Computing, 1997, 15
	pages.
	Prudential News, "Prudential Pilots Revolutionary New Speech-Based Telephone Customer Service System
 	Developed by AT&T Labs - Company Business and Marketing," December 6, 1999, 3 pages.
	RICCARDI, Giuseppe et al., "A spoken language system for automated call routing," believed to
Y	be published in Proc. ICASSP '97, 1997, 4 pages.
Jul 3	SHARP, Douglas, et al., "The Watson Speech Recognition Engine," accepted by ICASSP, 1997, 9
	pages.

Signature:

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

Query engine for processing voice based queries including semantic decoding

Application Number:

10/653039

Confirmation Number:

1543

First Named Applicant:

Ian Bennett

Attorney Docket Number:

PHO 99004CIP

Art Unit:

2655

Examiner:

Doris Ha To

Search string:

(6697780 or 6614885 or 6594269 or 6574597 or 6567778 or 6522725 or 6453020 or 6292781 or 6292767 or 6278973 or 6246989 or 6243679 or 6178404 or 6125341 or 6125284 or 6044266 or 6032111 or 5978756 or 5680511 or 5668854 or 5553119

or 5371901 or 6341306 or 6519631).pn

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
Jul 3	_ 1	6697780	2004-02-24	Beutnagel et al.		1	7
不	2	6614885	2003-09-02	Polcyn			
	3	6594269	2003-07-15	Polcyn			7
	4	6574597	2003-06-03	Mohri et al.			
	5	6567778	2003-05-20	Chao Chang et			
				al.			
	6	6522725	2003-02-18	Kato			
	. 7	6453020	2002-09-17	Hughes et al.			
	8	6292781	2001-09-18	Urs et al.			
	9	6292767	2001-09-18	Jackson et al.			\7
	10	6278973	2001-08-21	Chung et al.			X
	11	6246989	2001-06-12	Polcyn			7
	12	6243679	2001-06-05	Mohri et al.			
	13	6178404	2001-01-23	Hambleton et al.		/	
	14	6125341	2000-09-26	Raud et al.	-		
	15	6125284	2000-09-26	Moore et al.		/	
	16	6044266	2000-03-28	Kato .		/	
	17	6032111	2000-02-29	Mohri			
	18	5978756	1999-11-02	Walker et al.			
T	19	5680511	1997-10-21	Baker et al.		/	
me	_ 20	5668854	1997-09-16	Minakami et al.		/	1

Γ	34	21	5553119	1996-09-03	McAllister et al.	
		22	5371901	1994-12-06	Reed et al.	
	X	23	6341306	2002-01-22	Rosenschein et al.	
	nge	24	6519631	2003-02-11	Rosenschein et al.	

Signature

Examiner Name	Date
mathy Level	27 FEBRUARY 2007

Information Disclosure Statement By Applicant Substitute for form 1449/PTO

Page 1 of 5

Application Number: 10/653,039 Filing Date: 08/29/2003

First Named Inventor: Bennett

Art Unit: 2641

Examiner Name: N/A

Attorney Docket Number: PHO 99004CIP

Examiner Initials	Patent Number	Publication Date	Inventor Name
ME	4473904	09/25/1984	Suehiro et al.
	4587670	05/06/1986	Levinson et al.
	4783803	11/08/1988	Baker et al.
	4785408	11/15/1988	Britton et al.
	4852170	07/25/1989	Bordeaux
	4914590	04/03/1990	Loatman et al.
	4991094	02/05/1991	Fagan et al.
	4991217	02/05/1991	Garrett et al.
	5068789	11/26/1991	Van Vliembergen
	5146405	09/08/1992	Church
	5157727	10/20/1992	Schloss
	5231670	07/27/1993	Goldhor et al.
	5265014	11/23/1993	Haddock et al.
	5293584	03/08/1994	Brown et al.
	5384892	01/24/1995	Strong
	5475792	12/12/1995	Stanford et al.
	5500920	03/19/1996	Kupiec
	5513298	04/30/1996	Stanford et al.
	5540589	07/30/1996	Waters
	5602963	02/11/1997	Bissonnette et al.
	5615296	03/25/1997	Stanford et al.
	5680628	10/21/1997	Carus et al.
	5727950	03/17/1998	Cook et al.
	5737485	04/07/1998	Flanagan et al.
	5748841	05/05/1998	Morin et al.
	5758322	05/26/1998	Rongley
	5802526	09/01/1998	Fawcett et al.
	5819220	10/06/1998	Sarukkai et al.
	5836771	11/17/1998	Ho et al.
	5867817	02/02/1999	Catallo et al.
	5873062	02/16/1999	Hansen et al.
	5884302	03/16/1999	Но
	5915236	06/22/1999	Gould et al.
	5934910	08/10/1999	Ho et al.
	5956683	09/21/1999	Jacobs et al.
	5960394	09/28/1999	Gould et al.
Y	5960399	09/28/1999	Barclay et al.
3Cm	5983190	11/09/1999	Trower, II et al.

Examiner Signature:

Date Considered: 02/27/2007

Substitute for form 1449/PTO

Page 2 of 5

Application Number: 10/653,039
Filing Date: 08/29/2003
First Named Inventor: Bennett

Art Unit: 2641 Examiner Name: N/A

Attorney Docket Number: PHO 99004CIP

me A	6009387		Nguyen et al.
	0007507	12/28/1999	Ramaswamy et al.
	6023697	02/08/2000	Bates et al.
	6029124	02/22/2000	Gillick et al.
	6035275	03/07/2000	Brode et al.
	6044347	03/28/2000	Abella et al.
	6081774	06/27/2000	De Hita et al.
	6112176	08/29/2000	Goldenthal et al.
	6119087	09/12/2000	Kuhn et al.
	6138089	10/24/2000	Guberman
	6138100	10/24/2000	Dutton et al.
	6141640	10/31/2000	Moo
	6144848	11/07/2000	Walsh et al.
	6144938	11/07/2000	Surace et al.
	6157705	12/05/2000	Perrone
	6182038	01/30/2001	Balakrishnan et al.
	6185535	02/06/2001	Hedin et al.
	6192338	02/20/2001	Haszto et al.
	6233559	05/15/2001	Balakrishnan
	6256607	07/03/2001	Digalakis et al.
	6269336	07/31/2001	Ladd et al.
	6308158	10/23/2001	Kuhnen et al.
	6311159	10/30/2001	Van Tichelen et al.
	6327561	12/04/2001	Smith et al.
	6327568	12/04/2001	Joost
	6336090	01/02/2002	Chou et al.
	6356869	03/12/2002	Chapados et al.
	6363349	03/26/2002	Urs et al.
	6374219	04/16/2002	Jiang
	6377944	04/23/2002	Busey et al.
	6381594	04/30/2002	Eichstaedt et al.
	6388056	05/14/2002	Sundstrom et al.
	6389389	05/14/2002	Meunier et al.
	6408272	06/18/2002	White et al.
	6411926	06/25/2002	Chang
	6427063	07/30/2002	Cook et al.
	6501966	12/31/2002	Bareis et al.
У	6647363	11/11/2003	Claassen
ME	2001/0016813	8/2001	Brown et al.

Examiner Protect Lersel
Signature:

Date Considered: 02/27/2007

Substitute for form 1449/PTO

Page 3 of 5

Application Number: 10/653,039
Filing Date: 08/29/2003
First Named Inventor: Bennett

Art Unit: 2641 Examiner Name: N/A

Attorney Docket Number: PHO 99004CIP

me	2001/0032083	10/2001	Van Cleven
	2001/0056346	12/2001	Ueyama et al.
	2002/0046023	4/2002	Fujii et al.
	2002/0059068	5/2002	Rose et al.
	2002/0059069	5/2002	Hsu et al.
	2002/0086269	7/2002	Shpiro
	2002/0087325	7/2002	Lee et al.
	2002/0087655	7/2002	Bridgman et al.
Y	2002/0091527	7/2002	Shiau
me	2004/0006465	1/2004	Phillips et al.
Examiner Initials	Foreign	Publication	Name of Patentee or
	Publications	Date	Applicant of Cited Patent
me	EP 1094388	4/2001	Kizna.com, Inc.
<u> </u>	EP 1096471	5/2001	Telefonaktiebolaget LM Ericsson
	WO 98/11534	3/1998	Siemens
	WO 99/48011	9/1999	Advanced Web Solutions, Inc
	WO 99/50830	10/1999	Microsoft Corporation
	WO 00/14727	3/2000	One Voice Technologies, Inc
	WO 00/17854	3/2000	Nokia Networks Oy
	WO 00/20962	4/2000	International Business Machines
			Corporation
	WO 00/21075	4/2000	International Business Machines
			Corporation
	WO 00/21232	4/2000	International Business Machines
			Corporation
	WO 00/22610	4/2000	Nokia Mobile Phones Limited
	WO 00/30072	5/2000	Motorola Limited
	WO 00/30287	5/2000	Motorola Limited
	WO 00/68823	11/2000	Yahoo, Inc
	WO 01/16936	3/2001	Andersen Consulting, LLP
	WO 01/18693	3/2001	Shoptok, Inc
	WO 01/26093	4/2001	One Voice Technologies, Inc
	WO 01/78065	10/2001	One Voice Technologies, Inc
Y	WO 01/95312	12/2001	Nokia Inc.
The	WO 02/03380	1/2002	Alcatel

Examiner Initials	Other Publications	
me	Creative Labs (VoiceAssist.TM. "User's Guide" @ Jul. 1993).	
Examin	et Marie Date	

Signature:

Date Considered: 02/27/2007

)

Substitute for form 1449/PTO

Page 4 of 5

Signature:

Application Number: 10/653,039 Filing Date: 08/29/2003 First Named Inventor: Bennett

Art Unit: 2641 Examiner Name: N/A

Attorney Docket Number: PHO 99004CIP

	·P	21st Century Eloquence, Inc. (Archived Internet advertisement © 1997-1998).	
 		B. ARONS, "The Design of Audio Servers and Toolkits for Supporting Speech in the User Interface,"	
	\	believed to be published in: Journal of the American Voice I/O Society, pp. 27-41, March 1991.	
	H		
		L.R. BAHL, et al., "A Maximum Likelihood Approach to Continuous Speech Recognition," IEEE	
		Transactions on Pattern Analysis and Machine Intelligence, Vol. PAMI-5, No. 2, pp. 179-190, Mar. 1983.	
	├		
ì l	١.	J.H. BAKER, "The DRAGON SystemAn Overview," IEEE Transactions on Acoustics, Speech, and	
\vdash	┝	Signal Processing, ASSP-23(1): 24-29, Feb. 1975.	
		L.E. BAUM, et al., "Statistical Inference for Probabilistic Functions for Finite State Markov Chains,"	
-		The Annals of Mathematical Statistics, 37: 1554-1563, 1966.	
		L.E. BAUM et al, "A Maximization Technique Occurring in the Statistical Analysis of Probabilistic	
	Ì	Functions of Markov Chains," The Annals of Mathematical Statistics, 1970, vol. 41, No. 1, pp. 164- 171.	
		L.E. BAUM, "An Inequality and Associated Maximization Technique in Statistical Estimation for	
		Probabilistic Functions of Markov Processes," Inequalities-III, Academic Press, New York, NY, pp. 1-	
		8, 1972.	
		I. BENNETT, "A Study of Speech Compression Using Analog Time Domain Sampling techniques," A	
		Dissertation Submitted to the Dept. Of Electrical Engineering and the Committee on Graduate Studies of Stanford University, May 1975, pp. 16-32; 76-111.	
		V. DIGALAKIS, et al., "Product-Code Vector Quantization of Cepstral Parameters for Speech	
		Recognition over the WWW," believed to be published in: Proc. ICSLP '98, 4 pages, 1998.	
İ		V. DIGALAKIS, et al., "Quantization of Cepstral Parameters for Speech Recognition over the World	
		Wide Web," believed to be published in: IEEE Journal on Selected Areas of Communications, 22 pages, 1999.	
		J.L. FLANAGAN, "Speech Analysis Synthesis and Perception," 2 nd edition, Springer-Verlag Berlin,	
		1972, pp. 1-53.	
		G.D. FORNEY, Jr., "The Viterbi Algorithm," Proceedings of the IEEE, vol. 61, No. 3, pp. 268-278,	
		Mar. 1973.	
		A. GERSHO et al., "Vector Quantization and Signal Compression," Kluwer Academic Publishers,	
		1991, pp. 309-340.	
		D. GIULIANI, et al., "Training of HMM with Filtered Speech Material for Hands-Free Recognition,"	
1		believed to be published in: Proceedings of ICASSP '99, Phoenix, USA, 4 pages, 1999.	
		T. HAZEN, et al., "Recent Improvements in an Approach to Segment-Based Automatic Language	
		Identification," believed to be published in: Proceedings of the 1994 International Conference on	
		Spoken Language Processing, Yokohama, Japan, pp.1883-1886, Sept. 1994.	
		D. HOUSE, "Spoken-Language Access to Multimedia (SLAM): A Multimodal Interface to the World-	
		Wide Web," Masters Thesis, Oregon Graduate Institute, Department of Computer Science &	
		Engineering, 59 pages, April 1995.	
		R.A. HUDSON, "Word Grammar," Blackwell Publisher Ltd., Oxford, England, 1984, pp. 1-14; 41-42;	
		76-90; 94-98; 106-109; 211-220.	
		F. JELINEK et al, "Continuous Speech Recognition: Statistical Methods," Handbook of Statistics, vol.	
		2, P.R. Krishnaiah, Ed. Amsterdam, The Netherlands, North-Holland, 1982, pp. 549-573.	
V		L. JULIA, et al., "HTTP://WWW.SPEECH. SRI.COM/DEMOS/ ATIS.HTML," believed to be	
	published in: Proceedings AAAI'97: Stanford, pp. 72-76, July 1997.		
~~	٦	R. LAU et al, "Webgalaxy-Integrating Spoken Language and Hypertext Navigation," believed to be	
רו ו)]]	_published in: in KOKKINAKIS, G. et al., (Eds.) Eurospeech '97, Proceedings of the 5th European	
Conference on Speech Communication and Technology, Rhodes (Greece), Sept. 22-25, 1997, pp. 883-			
Exa	min	OP.	
Sign	atu	re: Considered:	

Substitute for form 1449/PTO

Page 5 of 5

Application Number: 10/653,039 Filing Date: 08/29/2003

First Named Inventor: Bennett

Art Unit: 2641 Examiner Name: N/A

Attorney Docket Number: PHO 99004CIP

	886, 1997.
	P. LIEBERMAN, "Intonation, Perception and Language," Research Monograph No. 38, MIT Press,
LW F	Cambridge, Mass., 1967, pp. 5-37.
	B. LIN, et al., "A Distributed Architecture for Cooperative Spoken Dialogue Agents with Coherent
人	Dialogue State and History," believed to be published in: IEEE Automatic Speech Recognition and
	Understanding Workshop, Keystone, Colorado, USA, 4 pages, Dec. 1999.
	B. LU, et al., "Scalability Issues in the Real Time Protocol (RTP)," Project Report for CPSC 663 (Real
	Time Systems), Dept. of Computer Science, Texas A & M University, 19 pages, 1999.
	J. MAKHOUL, et al., "Vector Quantization in Speech Coding," Proceedings of the IEEE, vol. 73, No.
	11, Nov. 1985, pp. 1551-1588.
	H. MELIN, "On Word Boundary Detection in Digit-Based Speaker Verification," believed to be
1 1	published in: Workshop on Speaker Recognition and its Commercial and Forensic Applications
	(RLA2C), Avignon, France, April 20-23, pp. 46-49, 1998.
	N. MORGAN et al., "Hybrid Neural Network/Hidden Markov Model Systems for Continuous Speech
	Recognition," International Journal of Pattern Recognition and Artificial Intelligence, World Scientific
	Publishing Co., vol. 7, No. 4, 1993, pp. 899-916.
	R. QUIRK, et al., "A Comprehensive Grammar of English Language," Longman, London and New
	York, 1985, pp. 245-331.
<u> </u>	L. RABINER, "Digital Processing of Speech Signals," Prentice Hall, 1978, pp. 116-171; 355-395.
	L. RABINER, "A Tutorial on Hidden Markov Models and Selected Applications in Speech
	Recognition," Proceedings of the IEEE, vol. 77, No. 2, Feb. 1989, pp. 257-286.
	L. RABINER et al., "Fundamentals of Speech Recognition," Prentice Hall, 1993, pp. 11-68.
	G. RAMASWAMY, et al., "Compression of Acoustic Features for Speech Recognition in Network
	Environments," believed to be published in: IEEE International Conference on Acoustics, Speech
	and Signal Processing, pp. 977-980, June 1998.
V	L. TRAVIS, "Handbook of Speech Pathology," Appleton-Century-Crofts, Inc., New York, NY 1957,
1	pp. 91-124.
4	S. TSAKALIDIS, et al., "Efficient Speech Recognition Using Subvector Quantization and Discrete-
│ (`)	Mixture HMMs," believed to be published in: Proc. ICASSP '99, 4 pages, 1999.

Examiner Practif Derul
Signature:

Date Considered: 02/27/2007

PTO/SB/08B (08-03)

Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

he Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substalle for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 3

Sheet

	Complete if Known	
Application Number	10/653,039	_
Filing Date	08/29/2003	
First Named Inventor	Bennett	
Art Unit	2641	
Examiner Name	N/A	
Attorney Docket Number	PHO 99004CIP	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
ME		APPELT, D. & POLLACK, M., "Weighted abduction for plan ascription," believed to be published in SRI International, Technical Note 491, Menlo Park, CA, 1990, 35 pgs.	
		BAKER, COLLIN F., FILLMORE, CHARLES J., & LOWE, JOHN B., "The Berkeley FrameNet project," believed to be published in Proceedings of the COLING-ACL, Montreal, Canada, 1998, 6 pgs.	
		DEJONG, G., "Skimming newspaper stories by computer," New Haven, CT: Department of Computer Science, Yale University, Research Report 104,1977, pp. 1-31.	
		GRAESSER, A. C., WIEMER-HASTINGS, P., WIEMER-HASTINGS, K., HARTER, D., PERSON, N., and the TRG (in press), "Using latent semantic analysis to evaluate the contributions of students in AutoTutor," Interactive Learning Environments, 33 pgs.	
		GRICE, H. P., "Utterer's meaning and intentions," Philosophical Review, 68(2), 1969, pp.147–177.	
		GROSZ, B. & KRAUS, S., "Collaborative plans for complex group action," believed to be published in Proceedings of the Thirteenth International Joint Conference on Artificial Intelligence (IJCAI '93), Chambery, France, vol. 1, 1993, 79 pgs.	
		GROSZ, B. J. & SIDNER, C. L., "Attentions, intentions and the structure of discourse," Computational Linguistics, 12, 1986, pp.175–204.	
		HOBBS, J. & EVANS, D., "Conversation as planned behavior," Cognitive Science 4(4), 1980, pp. 349-377.	·
V		HOBBS, J., STICKLE, M., APPELT, D. & MARTIN P. (1993). "Interpretation as Abduction," believed to be published in Artificial Intelligence, 63(1-2), 68 pgs.	
Me		LASCARIDES, A. & ASHER, N., *Discourse relations and defeasible knowledge,* in Proceedings of the 29th Annual Meeting of the Association for Computational Linguistics (ACL '91), Berkeley, CA, 1991. pp. 55–62.	

Examiner Postul Per	Date	F006 FC E0
Signature	Considered	02/24/2004

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including to process) an application. Contridentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to taxe 2 nours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTC/SB/08B (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
d to a collection of information unless it contains a valid OMB control

Substitute for form 1449/PTO				Complete if Known		
Substitute for form 1442/110				Application Number	10/653,039	
INFORMATION DISCLOSURE				Filing Date	08/29/2003	
(Use as many sheets as necessary)			PPLICANT	First Named Inventor	Bennett	
				Art Unit	2641	
			ecessary)	Examiner Name	N/A	
Sheet	2	of	3	Attorney Docket Number	PHO 99004CIP	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
ME		LASCARIDES, A. & OBERLANDER, J., (1992). "Temporal Coherence and Defeasible Knowledge," believed to be published in Theoretical Linguistics, 19, 34 pgs.	
		LEWIS, D., "Scorekeeping in a language game," Journal of Philosophical Logic 6, 1979, pp. 339–359.	
		LOCHBAUM, K., "Using Collaborative Plans to Model the Intentional Structure of Discourse," PhD thesis, Harvard University, 1994, pp.1-158.	
		MCROY, SUSAN W. and HIRST, GRAEME (1993a). "Abductive explanation of dialogue misunderstandings." believed to be published in Proceedings of the 6th conference of the European chapter of the Association for Computational Linguistics, Utrecht, The Netherlands, pp. 277-286.	
		PERUS, D., PURANG, K., & ANDERSON C. "Conversational Adequacy: Mistakes are the Essence," Dept. of Computer Science, University of Maryland, College Park MD, January 10, 1997 as found at http://www.cs.umd.edu/projects/active/active/html, pp. 1-47.	
		PERRAULT,C. & ALLEN, J., (1980). "A Plan-Based Analysis of Indirect Speech Acts," American Journal of Computational Linguistics, 6(3-4), pp. 167-182.	
		POLLACK, M., "A model of plan inference that distinguishes between the beliefs of actors and observers," in Proceedings of 24th Annual Meeting of the Association for Computational Linguistics, New York, 1986, pp. 207–214.	
		RAYNER, M. & ALSHAWI, H., (1992), "Deriving Database Queries from Logical Forms by Abductive Definition Expansion," believed to be published in Proceedings of the Third Conference of Applied Natural Language Processing, Trento, Italy, pp. 1-8.	
Y		RIEGER, C., "Conceptual Memory: A Theory and Computer Program for Processing the Meaning Content of Natural Language Utterances," Stanford, CA: Stanford Artificial Intelligence Laboratory, Memo AIM-233, 1974, pp. 1-23.	
Me		SCHANK, R., "Conceptual Information Processing," New York: Elsevier, 1975, pp.1-21.	

Examiner Signature	of Deruel	Date Considered	ဝ၁	27	Foac

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

J. 4 9 4

PTO/SB/08B (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are Substitute for form 1449/PTO			ici di 1993, no persons al	re required to respond to a collection	Complete if Known	AND CONTION NUMBER.
Cubsul	NE 101 101111 1443/1 1			Application Number	10/653,039	
INFORMATION DISCLOSURE				Filing Date	08/29/2003	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Bennett	
	Alee on many o	-baata aa s		Art Únit	2641 ·	
(Use as many sheets as necessary)				Examiner Name	N/A	
Sheet	3	of	3	Attorney Docket Number	PHO 99004CIP	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
me		SCHANK, R., LEBOWITZ, M., & BIRNBAUM, L., "An integrated understander," American Journal of Computational Linguistics, 6(1), 1980, pp. 13-30.	
me		SEARLE, J., "Speech Acts: An Essay in the Philosophy of Language," Cambridge: Cambridge University Press, 1969, pp. 3-53.	
me		SPERBER, D. & WILSON, D., "Relevance: Communication and Cognition," Cambridge, MA: Harvard University Press, 1986, pp.1-64.	

Considered	Examiner Mattu	Lerne	Date Considered	02/27/2007
------------	----------------	-------	--------------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

Compilered: Include copy of this form with next communication to applicant.

1 Applicant's unique citation are required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.